FORM NO : 1064-E

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Predeshiya Sanwardhana Bank
RDB பிரதேச அபிவிருத்தி வங்கி

| Bank use only | |
|---|---|
| Branch | |
| Account No. | |
| CID No | |

# Know Your Customer-KYC

**(Requirement in terms of Financial Transaction Reporting Act No. 6 of 2006)**

Mandatory to present acceptable documents as a proof of identity.

Individual KYC form should be completed by all joint holders.

If the account is a minor saving, the guardian's KYC details should be completed as minor's KYC detail

**A . Basic Information of the Individual Customer.**

1 Name in Full

2 Permanent Address

3 Correspondence Address

4 Identification Number & Type of Identity Card

- [ ] National Identity Card
- [ ] Passport
- [ ] Driving Licence
- [ ] Other - Pl. Specify

5 Contact Number and E-mail Address

Fixed

Mobile

E-mail

6 Date of Birth D D M M Y Y Y Y

7 Civil Status Married/Unmarried

a. Spouse Name

b. Spouse NIC No.

8 Nationality & Citizenship

a. Sri Lankan [ ] Resident [ ] Non- resident [ ]

b. Sri Lankan with dual citizenship [ ]

c. Foreign National with dual citizenship in Sri Lanka [ ]

d. Foreign National resident in or employed in Sri Lanka [ ]

e. Other - Pl. Specify

9 Occupation / Profession

10 Sector Code of Occupation

- [ ] Commercial
- [ ] Tourism
- [ ] Financial
- [ ] Consumption
- [ ] Agricultural
- [ ] Services
- [ ] Industrial
- [ ] Others
- [ ] Housing & Property Development

11 Customer Segment

- [ ] Tourism
- [ ] Wholesale
- [ ] Retail Trade
- [ ] Agriculture, Forestry & Fishing
- [ ] Financial Services
- [ ] Manufacturing
- [ ] Arts, Entertainment & Recreation
- [ ] Transportation & Storage
- [ ] Education
- [ ] Construction
- [ ] Health Care, Social Services & Support Services
- [ ] Infrastructure Development
- [ ] Information Technology & Communication Services
- [ ] Professional, Scientific & Technical Activities
- [ ] Consumption

12 Name & Address of the employer / Business T.P

13 Mother's maiden Name

14 Purpose of the account opening & usages

- [ ] Business Transactions
- [ ] Professional/ Employment Income
- [ ] Family Inward Remittance
- [ ] Upkeep of Family/Personal
- [ ] Utility bills payments
- [ ] Savings
- [ ] Loan Repayment
- [ ] Investment Purpose
- [ ] Social & Welfare Work
- [ ] Share Transactions
- [ ] Donation
- [ ] Gvt. Aid
- [ ] Other - Pl.Specify

15 Sources of funds ( Expected source and nature of credits into the account)

- [ ] Salary
- [ ] Sales & Business Turnover
- [ ] Profit Income
- [ ] Commission Income
- [ ] Investment Proceed
- [ ] Gifts
- [ ] Family Remittance
- [ ] Sales of Property/Assets
- [ ] Membership Contribution
- [ ] Export Proceed
- [ ] Contract Proceed
- [ ] Donation/Charities
- [ ] Rent Income
- [ ] Gvt. Aid
- [ ] Other - Pl.Specify

16 Anticipated Transaction Volumes (Approximately Monthly Income Rs. …..........................................................)

Expected / Usual average volumes of deposits into the account in rupees per month

- [ ] Less than Rs.100,000
- [ ] Rs.100,001 - 500,000
- [ ] Rs.500,001 - 1,000,000
- [ ] Rs.1,000,001 - 5,000,000
- [ ] Rs.5,000,001- 10,000,000
- [ ] Above the 10,000,001

**17** Expected Transaction Mode

☐ Cash ☐ Electronic Fund Transfer ☐ Cheque ☐ All mode of forms (cash, cheque, fund transfer)

☐ Other- Pls Specify ______

**18** Delivery Channel

☐ Face-to-Face ☐ Payment received through known or associated third parties

☐ Non-Face-to-Face ☐ Payment received through unknown or un-associated third parties

☐ Face-to-Face & Non - Face-to-Face

**19** Applicant's ownership of wealth and estimated value (approx.)

| | | | |
|---|---|---|---|
| ☐ Residential Property | - Rs. | ☐ Financial Assets | - Rs. |
| ☐ Business | - Rs. | ☐ Investment | - Rs. |
| ☐ Motor Vehicles | - Rs. | ☐ Others (specify) | - Rs. |

**20** Source of wealth (Wealth generated from)

☐ Business Ownership/Income ☐ Investment ☐ Profession/Employment ☐ Inheritance ☐ As gift

☐ Others (specify) ______

I confirm that the given information is true & correct and, if required I hereby consent the bank to access the Department of Registrations of Persons (DRP) system to validate my NIC information and agree to debit my/our Savings Account for the cost of DRP charges.

………………………………… ………………………….

Customer Signature Date

**Office Use only**

**B . Mandatory Check.**

**Tick R the Appropriate Boxes**

**1 Identity Verification (Name, Date of Birth, Nationality, Occupation)**

☐ National Identity ☐ Passport ☐ Driving Licence

☐ Birth Certificate for Minor ☐ Other Documents ______

**2 Address Verification**

☐ National Identity ☐ Utility Bills ☐ Lease Agreement ☐ Driving Licence

☐ Bank Statement ☐ Receipt of Income tax ☐ Other Documents (specify) ______

**3 Does the client or any member of his immediate family is a Politically Exposed Person * (PEP) ?** Yes/No

If " Yes " -please specify ______

Please forward relevant formats along with supporting documents to Head Office for approval.

**4 Does the client appear on the known suspected Terrorist List / UN Sanction List or any other alert list ?** Yes/No

(Confirmation should be done through screening the AML system)

If " Yes " -please specify ______

If "yes", the account shall not be opened & inform to the Compliance Officer

**5 More information about the customer ( if necessary )**

………………………………….......... ………………………….

Signature of authorized Officer & Service No. Date

(The Officer who is the information collected)

**6 Risk Categorization** ☐ Low ☐ Medium ☐ High

Risk status of the KYC Module (AML System) has been updated in the CBS System.

1 Risk categorization should be done according to the result of the AML system

2 If the account is a minor saving, the guardian's risk category should be considered as the minor's risk category.

………………………………….......... ………………………….

Signature of authorized Officer & Service No. Date

***Note***

***All photocopies obtained for the above 1 & 2 must be certified by the bank Officer as "True Copy".***

***"Politically Exposed Person" means an individual who is entrusted with prominent public functions either domestically or by a foreign country, or in an International Organization and includes a Head of a State or a Government, a Politician, a Senior Government Officer, a Judicial Officer or Military Officer, a Senior Executive of a State Owned Corporation, Government or Autonomous Body but does not include a middle rank or junior rank individuals;**