



Bank use only	
Branch	
Account No.	
CID No	

Know Your Customer-KYC
(Requirement in terms of Financial Transaction Reporting Act No. 6 of 2006)

Mandatory to present acceptable documents as a proof of identity.
Individual KYC form should be completed by all joint holders.
If the account is a minor saving, the guardian's KYC details should be completed as minor's KYC detail

A . Basic Information of the Individual Customer.

1 Name in Full

2 Permanent Address

3 Correspondence Address

4 Identification Number & Type of Identity Card

National Identity Card

Passport

Driving Licence

Other - Pl. Specify

5 Contact Number and E-mail Address

Fixed

Mobile

E-mail

6 Date of Birth

7 Civil Status

a. Spouse Name

b. Spouse NIC No.

8 Nationality & Citizenship

a. Sri Lankan Resident

Non- resident

b. Sri Lankan with dual citizenship

c. Foreign National with dual citizenship in Sri Lanka

d. Foreign National resident in or employed in Sri Lanka

e. Other - Pl. Specify

9 Occupation / Profession

10 Sector Code of Occupation

Commercial Tourism

Financial Consumption

Agricultural Services

Industrial Others

Housing & Property Development

11 Customer Segment

Tourism Wholesale Retail Trade

Agriculture, Forestry & Fishing Financial Services

Manufacturing Arts, Entertainment & Recreation

Transportation & Storage Education

Construction Health Care, Social Services & Support Services

Infrastructure Development Information Technology & Communication Services

Professional, Scientific & Technical Activities Consumption

12 Name & Address of the employer / Business

 T.P.

13 Mother's maiden Name

14 Purpose of the account opening & usages

Business Transactions Professional/ Employment Income Family Inward Remittance Upkeep of Family/Personal

Utility bills payments Savings Loan Repayment Investment Purpose Social & Welfare Work

Share Transactions Donation Gvt. Aid Other - Pl.Specify

15 Sources of funds (Expected source and nature of credits into the account)

Salary Sales & Business Turnover Profit Income Commission Income Investment Proceed

Gifts Family Remittance Sales of Property/Assets Membership Contribution Export Proceed

Contract Proceed Donation/Charities Rent Income Gvt. Aid Other - Pl.Specify

16 Anticipated Transaction Volumes (Approximately Monthly Income Rs.)

Expected / Usual average volumes of deposits into the account in rupees per month

Less than Rs.100,000 Rs.100,001 - 500,000 Rs.500,001 - 1,000,000

Rs.1,000,001 - 5,000,000 Rs.5,000,001- 10,000,000 Above the 10,000,001

17 Expected Transaction Mode

- Cash Electronic Fund Transfer Cheque All mode of forms (cash, cheque, fund transfer)
 Other- Pls Specify

18 Delivery Channel

- Face-to-Face Payment received through known or associated third parties
 Non-Face-to-Face Payment received through unknown or un-associated third parties
 Face-to-Face & Non - Face-to-Face

19 Applicant's ownership of wealth and estimated value (approx.)

- Residential Property - Rs. Financial Assets - Rs.
 Business - Rs. Investment - Rs.
 Motor Vehicles - Rs. Others (specify) - Rs.

20 Source of wealth (Wealth generated from)

- Business Ownership/Income Investment Profession/Employment Inheritance As gift
 Others (specify)

I confirm that the given information is true & correct and, if required I hereby consent the bank to access the Department of Registrations of Persons (DRP) system to validate my NIC information and agree to debit my/our Savings Account for the cost of DRP charges.

.....
Customer Signature

.....
Date

Office Use only	
B . Mandatory Check.	
Tick R the Appropriate Boxes	
1 Identity Verification (Name, Date of Birth, Nationality, Occupation)	
<input type="checkbox"/> National Identity	<input type="checkbox"/> Passport
<input type="checkbox"/> Birth Certificate for Minor	<input type="checkbox"/> Driving Licence
<input type="checkbox"/> Other Documents	<input type="text"/>
2 Address Verification	
<input type="checkbox"/> National Identity	<input type="checkbox"/> Utility Bills
<input type="checkbox"/> Bank Statement	<input type="checkbox"/> Lease Agreement
<input type="checkbox"/> Receipt of Income tax	<input type="checkbox"/> Driving Licence
<input type="checkbox"/> Other Documents (specify)	<input type="text"/>
3 Does the client or any member of his immediate family is a Politically Exposed Person * (PEP) ? Yes/No	
If " Yes " -please specify <input type="text"/>	
Please forward relevant formats along with supporting documents to Head Office for approval.	
4 Does the client appear on the known suspected Terrorist List / UN Sanction List or any other alert list ? Yes/No	
(Confirmation should be done through screening the AML system)	
If " Yes " -please specify <input type="text"/>	
If "yes", the account shall not be opened & inform to the Compliance Officer	
5 More information about the customer (if necessary)	
.....
Signature of authorized Officer & Service No.	Date
(The Officer who is the information collected)	
6 Risk Categorization <input type="checkbox"/> Low <input type="checkbox"/> Medium <input type="checkbox"/> High	
Risk status of the KYC Module (AML System) has been updated in the CBS System.	
1 Risk categorization should be done according to the result of the AML system	
2 If the account is a minor saving, the guardian's risk category should be considered as the minor's risk category.	
.....
Signature of authorized Officer & Service No.	Date
Note	
<i>All photocopies obtained for the above 1 & 2 must be certified by the bank Officer as "True Copy".</i>	
*"Politically Exposed Person" means an individual who is entrusted with prominent public functions either domestically or by a foreign country, or in an International Organization and includes a Head of a State or a Government, a Politician, a Senior Government Officer, a Judicial Officer or Military Officer, a Senior Executive of a State Owned Corporation, Government or Autonomous Body but does not include a middle rank or junior rank individuals;	